

# SHREYANS FINANCIAL AND CAPITAL SERVICES LIMITED

Regd. Off: Shree Rishabh Paper Mill Premises Village Banah, Nawanshahar-144522

Ph no: 0161-2685270, Email id: [sfcsl141@gmail.com](mailto:sfcsl141@gmail.com),

Website: [www.sfcsl.co.in](http://www.sfcsl.co.in), CIN- L65921PB1984PLC005967

SFCSL/SCY/2022-23/44

27.05.2022

To

Head- Listing & Compliance

**METROPOLITAN STOCK EXCHANGE OF INDIA LTD.**

4<sup>th</sup> Floor, Vibgyor Tower, G block C – 62,  
Bandra Kurla Complex, Bandra east  
Mumbai – 400098

**SUBJECT- ANNUAL SECRETARIAL COMPLIANCE REPORT FOR F.Y. ENDED  
31.03.2022**

Dear Sir,

Pursuant to Regulation 24A of Listing Regulations read with SEBI Circular No. CIR/CFD/CMD1/27/2019 dated 8<sup>th</sup> February 2019; please find enclosed herewith Annual Secretarial Compliance Report of the Company for the Financial Year ended 31<sup>st</sup> March 2022.

You are requested to take the same on your records.

Thanking you,

**FOR SHREYANS FINANCIAL & CAPITAL SERVICES LIMITED**



**MOHIT VERMA  
COMPANY SECRETARY AND COMPLIANCE OFFICER  
ACS-67765**



Enclosed as above

*Secretarial compliance report of Shreyans Financial And  
Capital Services Limited for the year ended 31<sup>st</sup> March, 2022*

I, Parminder Singh Bathla, Practicing Company Secretary, in the name of P S BATHLA & ASSOCIATES (FCS NO. 4391, Certificate of Practice No. 2585) have examined:

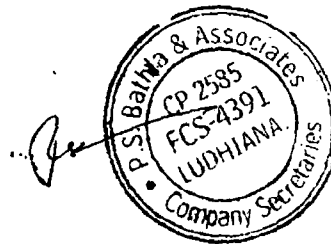
- (a) all the documents and records made available to us and explanation provided by Shreyans Financial And Capital Services Ltd ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31<sup>st</sup> March, 2022 ("Review Period") in respect of compliance with the provisions of :

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015; (as applicable)



# P.S. Bathla & Associates

COMPANY SECRETARIES

S.C.O.-6, 3rd Floor,  
Feroze Gandhi Market, Ludhiana - 141 001.

Ph.: (O) 4416791

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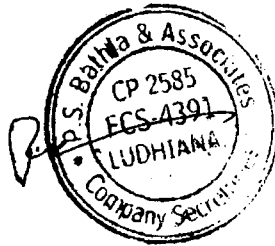
E-mail : { bathla7@gmail.com  
bathlaps@rediffmail.com

Website : www.psbathla.com

- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; (No such event occurred during the Review Period and thus not applicable)
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011; (as applicable)
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; (No such event occurred during the Review Period and thus not applicable)
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; (No such event occurred during the Review Period and thus not applicable)
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; (No such event occurred during the Review Period and thus not applicable)
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; (No such event occurred during the Review Period and thus not applicable)
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015; (as applicable)

and based on the above examination, I hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder.
- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my/our examination of those records.
- (c) There was no action taken against the listed entity/its promoters/



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directors/material subsidiaries either by SEBI or by Stock Exchanges (including under the standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and Circulars/ guidelines issued thereunder.

- (d) The listed entity has taken the following actions to comply with the observations made in previous reports: Not applicable as there were no observations which require action.

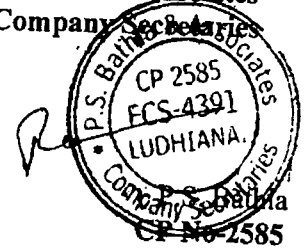
Dated: 27<sup>th</sup> May, 2022

Place: Ludhiana

UDIN: F004391D000402272

For P. S. Bathla & Associates

Company Secretaries



M. No. 4391

Peer Review No. 1306/2021

Proprietor

## **NOTE:**

The Secretarial Compliance is the responsibility of Management. My responsibility is to express an opinion on such compliance. This Compliance Report is neither an assurance as to the future viability of the Company nor of the efficacy or effectiveness with which the management has conducted the affairs of the Company.